

# RAYMOND JAMES®

## Trusted Contact Designation and Consent Form

Canadians lose billions of dollars to frauds and scams each year, and older investors are more likely to be victims of these crimes. As of 2022, Investment Dealers are required to ask you for the name and contact information of a person that you trust to assist us in protecting your investments. Research shows that 90% of Canadians support the idea of appointing a Trusted Contact Person to help protect their money.

We would contact this person to confirm or make inquiries about any of the following:

- Possible financial exploitation affecting you or your account(s)
- Your current contact information if we are unable to reach you
- Concerns about your mental capacity as it relates to financial decision making
- The identity of any legal guardian, executor, trustee or other personal or legal representative

Your Trusted Contact Person

- will not be an authorized party on your accounts; and
- Raymond James will not accept any instructions from them that will affect transactions and/ or change account information in any way.

This is simply another way for us to reach you if required.

You are not encouraged to select a trusted contact who is already involved in making decisions with respect to your account, such as a power of attorney or your dealing or advising representative.

If you would like to appoint a TCP, please fill out the form below:

I, \_\_\_\_\_, designate the person named below as my trusted contact person ("Trusted Contact") to serve as a resource to Raymond James in helping to better serve me and my accounts.

\_\_\_\_\_  
Name of Trusted Contact

\_\_\_\_\_  
Relationship to client

\_\_\_\_\_  
Telephone number(s)

\_\_\_\_\_  
E-mail address

\_\_\_\_\_  
Client ID

\_\_\_\_\_  
Signature of client

\_\_\_\_\_  
Date

\_\_\_\_\_ **Client has declined to provide the name of a trusted contact** \_\_\_\_\_ **(initial)**