

# RAYMOND JAMES®

## FINANCIAL ADVISOR ASSOCIATE

VICTORIA, BC  
Job Posting # 21-060

### POSITION OVERVIEW

Sandhu Wealth Advisory Group is seeking an experienced and personable Financial Advisor Associate to join our highly successful cross-border team. Our ideal candidate takes immense pride in their work and has a true passion for helping others. Working from the Raymond James office in downtown Victoria, the Financial Advisor Associate will be part of a small, dynamic team that is dedicated to exceptional client service.

Success in this role requires a client-focused approach and a strong understanding of financial markets. This hands-on role will report directly to the Financial Advisor and will be responsible for preparing financial plans, meeting with clients to review statements and market activity, and assisting with portfolio management. Everything we do is about a personalized client experience, from handwritten birthday cards and celebrating milestone moments; we go above and beyond for our clients and are looking for an individual who has the same mentality. This opportunity is ideal for someone looking for a long-term position where they can utilize their existing investment industry knowledge and develop exemplary client service skills.

### ABOUT US

Raymond James Ltd. is Canada's leading independent investment dealer offering high quality investment products and services to Canadians seeking customized solutions to their wealth management needs.

**SANDHU WEALTH ADVISORY GROUP** [www.sandhuwealthadvisorygroup.com](http://www.sandhuwealthadvisorygroup.com)

What makes us unique is our focus on particular types of clients who are often misunderstood or under-served. We don't believe that all client needs can be addressed with the same strategies; instead, we customize our offering and our approach to each of our clients in a way that ensures they get the right results, in a way that works best for them.

When you work with our team, you will notice a different experience than you might have had with your past financial advisors. We choose our clients as carefully as they choose us.

### WHAT WE OFFER

- Full time position based in Victoria, BC;
- Competitive salary based on relevant experience and education;
- Company bonuses;
- Ongoing opportunities for professional development and growth;
- Paid vacation;
- Comprehensive health and wellness package including medical, vision and dental care; and
- A well-developed, people first company culture.

Specifically you will:



- Preparing portfolio reviews and investment management proposals to clients and prospects;
- Assist in answering client questions regarding their accounts and financial plans;
- Review/monitor daily cash balance reports;
- Review risk tolerance and asset allocation during portfolio review preparation;
- Review daily activity reports;
- Follow up on movement of money between client accounts to ensure accuracy;
- Investigate and resolve other account-related inquiries;
- Utilize related software to run reports and queries for various monthly processes;
- Assist with cash flow projection analysis and tax package preparation; and
- Helping with research if you have the experience and knowledge (area of growth).

To qualify for this opportunity you possess:

- Minimum 3-5 years' experience in the investment industry;
- Excellent communication skills, both verbal and written;
- Relevant post-secondary education;
- Canadian Securities Course (CSC) and Conduct and Practice Handbook (CPH) Course;
- CFP designation (will consider candidates in the process of receiving their designation);
- Certifications in CIM, US Licensing, Insurance Licensing are considered an asset;
- Proficiency with MS Office including Word, Excel and Outlook;
- Experience with Microsoft CRM is an asset;
- Experience in entering trades is an asset;
- Strong organizational, multi-tasking and time management skills with the ability to prioritize;
- Ability to work in a fast-paced environment and demonstrate a professional and friendly manner; and
- Flexibility and willingness to assist others as required.

This is a permanent full-time position with a competitive compensation and benefits package.

If you are excited to join our team and excel in your investment career, please send us your resume and covering letter, **quoting the position and Job Posting # 21-060 by April 2, 2021 to:**

Human Resources  
Raymond James Ltd.  
Email: [resumes@raymondjames.ca](mailto:resumes@raymondjames.ca)

To be considered for employment candidates will be required to provide proof of citizenship, permanent residency or eligibility to work in Canada with no restrictions. We require applicants to complete a background verification process prior to commencing employment with the company, including but not limited to a credit and criminal record check. Employment is contingent on the satisfactory completion of a pre-employment background check.

We sincerely thank all applicants who express an interest in this role: only those being directly considered will be contacted.

Raymond James Ltd. recognizes the value of a diverse workforce and appreciates the unique skills and special contribution of each employee. We are committed to accessibility for candidates through all stages of the recruitment process. Should you require accommodation, please contact Human Resources via email at [resumes@raymondjames.ca](mailto:resumes@raymondjames.ca) .

